

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
4 March 2004 (04.03.2004)

PCT

(10) International Publication Number  
**WO 2004/017863 A2**

(51) International Patent Classification<sup>7</sup>: A61F 2/04

(21) International Application Number:  
PCT/US2003/026830

(22) International Filing Date: 26 August 2003 (26.08.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
10/229,400 26 August 2002 (26.08.2002) US

(71) Applicant (for all designated States except US): THE  
TRUSTEES OF COLUMBIA UNIVERSITY IN THE  
CITY OF NEW YORK [US/US]; 535 West 116th Street,  
New York, NY 10027 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): BESSLER, Marc  
[US/US]; 703 Ogden Avenue, Teaneck, NY 07666 (US).

(74) Agent: DIPPERT, William, H.; Reed Smith LLP, 599  
Lexington Avenue, 29th Floor, New York, NY 10022 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG,  
SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN,  
YU, ZA, ZM, ZW.

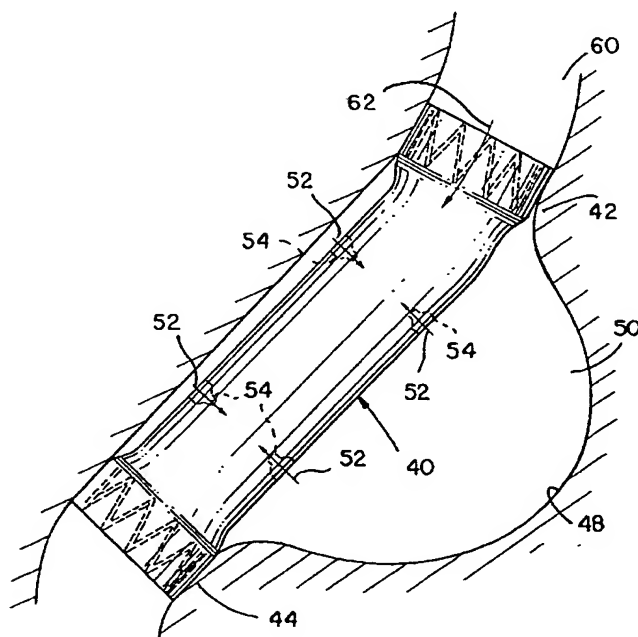
(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,  
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,  
SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM,  
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— without international search report and to be republished  
upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: ENDOSCOPIC GASTRIC BYPASS



(57) Abstract: An endoscopic device separates ingested food from gastric fluids or gastric fluids and digestive enzymes, to treat obesity. In a particular embodiment a gastric bypass stnt comprises a tubular member and two or more stnt members defining a lumen. The tubular member has a substantially liquid impervious coating or covering and one or more lateral openings to permit one-way liquid flow.

WO 2004/017863 A2

## **ENDOSCOPIC GASTRIC BYPASS**

### **FIELD OF THE INVENTION**

[001] The invention disclosed herein relates to a method and device for treating obesity. More particularly, the invention relates to a method and device wherein a covered stent having at least one one-way valve is positioned to extend from a patient's gastro-esophageal junction to the patient's duodenum.

### **BACKGROUND OF THE INVENTION**

[002] Surgical treatment of morbid obesity dates back to 1954 when the first jejunoileal bypass (intestinal) was done specifically for weight loss. The premise of this bypass was that patients could eat large amounts of food and the excess would either be poorly digested or passed along too rapidly for the body to absorb excess calories. In addition, intestinal bypass caused a temporary decrease in appetite which also resulted in weight loss. Unfortunately, essential nutrients were also lost in the stool. Because the effects of intestinal bypass were too difficult to predict and manage, the original form of the operation is no longer performed.

[003] In 1969 it was noted that near-total removal of the stomach for cancer or ulcers caused patients to remain at below normal weight. This suggested that a gastric bypass could be used for severe obesity. This approach involved stapling off most of the stomach, bypassing the duodenum, and allowing the undigested food to pass along directly into the intestine. Most of the early operations eventually failed because the pouch became enlarged.

[004] Today there are two primary surgical procedures used for achieving weight loss. One is the vertical banded gastroplasty, commonly referred to as VBG, and the other is the Roux-en-Y gastric bypass, or simply, the gastric bypass.

[005] Gastric bypass involves significant enough risk to a patient that it is considered only as a lifesaving undertaking for morbidly obese individuals. Reported complications following the gastric bypass include postoperative complications and

side effects such as marginal ulcers, wound infections, pulmonary emboli, gastrointestinal hemorrhage, renal failure, and numerous other disorders. The nature, severity, and frequency of these problems have in fact led some to doubt the advisability of the known surgical techniques for treatment of obesity. There has  
5 been, and continues to be, a need for less traumatic surgical or non-surgical techniques to treat obesity.

### **OBJECTS OF THE INVENTION**

- [006] It is an object of the invention to provide a method and device for treating obesity.
- 10 [007] It is also an object of the invention to provide an endoscopic device to separate ingested food and gastric fluids.
- [008] It is a further object of the invention to provide an endoscopic device to separate ingested food in the small bowel from digestive enzymes.
- [009] It is additionally an object of the invention to provide a covered stent  
15 having one-way valves.
- [010] It is a yet further object of the invention to provide a method for treating obesity wherein a covered stent having one-way valves is inserted into a patient's gastrointestinal tract.
- [011] These and other objects of the invention will become more apparent  
20 from the discussion below.

### **SUMMARY OF THE INVENTION**

- [012] According to the invention, a device is inserted into a patient's stomach endoscopically to separate ingested food from gastric fluids and, optionally, to separate ingested food in the duodenum from digestive enzymes. In one embodiment  
25 of the invention, a stent is inserted into a patient's gastrointestinal tract to bypass the stomach. The stent comprises a covered stent having one-way openings and/or valves on its annular surface and preferably at least one one-way valve at one end to permit

entry of food and/or liquids. Optionally the one-way valve at the end of the stent can comprise a sleeve that extends through the stent, preferably into the duodenum or beyond. One end of the stent is intended to be positioned at or above the gastro-esophageal junction, and the other end is intended to be positioned in the duodenum or beyond. The net effect of endoscopic gastric bypass is to replicate some or all of the effects of a surgical gastric bypass.

[013] The stent is advantageously delivered on a balloon dilatation catheter having one or more dilatable balloons. Preferably the distal and proximal portions of the stent are attached or crimped to corresponding portions of the catheter, and then, when the stent is properly positioned, balloons are dilated to expand the stent portions. Self-expanding stents, with appropriate catheter-based delivery systems, could be used as well. The stent can be removed by use of one or more of known methods or devices.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

[014] Fig. 1 is a partly cross-sectional view of an embodiment of the invention;

[015] Fig. 2 is a partly cross-sectional view of another embodiment of the invention;

[016] Fig. 3 is a cross-sectional view of an embodiment of the invention on a delivery catheter; and

[017] Fig. 4 is a partly cross-sectional view of an embodiment of the invention in position in a patient.

### **DETAILED DESCRIPTION OF THE INVENTION**

[018] The invention can perhaps be better appreciated by making reference to the drawings. In Fig. 1, a gastric bypass stent 2 comprises a stent member 4 at the proximal end 6 of a tubular member 8 and, optionally, a stent member 10 at the distal end 12 of tubular member 8. Proximal tubular end 6 comprises a one-way valve

member 16 to permit passage of food and liquid, and the wall 18 of tubular member 8 comprises one-way openings or valves 20 to permit gastric acid or fluid to flow into stent 2.

[019]            Optionally stent 2 could comprise one or more stent members 4, 10 that  
5        would together define a lumen and would have a coating or surface that would be the functional equivalent of tubular member 8.

[020]            Also, as shown in Fig. 2, the distal portion 14 of valve member 16 may  
optionally extend to or through tubular member distal end 12, whereby food from a  
patient's esophagus (not shown), i.e., ingested food, would not be contacted by gastric  
10        acid or fluid within stent 2 or by digestive enzymes within the duodenum (not shown).  
If it were desired to have some food contact some gastric acid or fluid or digestive  
enzymes within a distally extending valve member distal portion 14, valve member  
distal portion 14 could have some one-way valves 22, dependent upon the amount of  
contact desired. It is within the scope of the invention that valve member distal  
15        portion 14 could extend as far as up to about 75% of the small bowel, preferably from  
about 25 to about 250 cm into the duodenum or beyond.

[021]            It is within the scope of the invention that one-way valves 20 could be in  
fluid connection with tubes 24 that would extend distally to a point substantially near  
or distal to distal end 12.

20        [022]            One skilled in the art would appreciate the various aspects of the stent of  
the invention, e.g., the length of valve member 16, the number and position of one-  
way valves 20 and 22, and the use of tubing 24 connected to valves 20, can be varied  
to achieve a desired result in terms of when ingested food is contacted by gastric fluid  
and to what extent. In addition, the outer surface of each of proximal end 6 and distal  
25        end 12 may comprise a sealable member, such as an inflatable cuff in fluid  
communication with an inflation device. The primary advantage of any such sealable  
member is that it may be less irritating to the patient's duodenum or esophagus.

[023] Fig. 3 is a cross-sectional view of a stent 26 on a delivery catheter 28. Catheter 28 comprises annular dilatation balloons 30 and 32 to expand stent members 34 and 36 once stent 26 is in position within a patient. Balloons 30 and 32 are inflated either sequentially or simultaneously through inflation lumens 38 and 40 to cause stent members 32 to expand to hold stent 26 in the desired position. Then, balloons 30 and 32 are deflated and catheter 28 is withdrawn.

[024] In Fig. 4 a stent 40 is shown in position, extending from a patient's gastro-esophageal junction 42 to the patient's duodenum 44. Gastric juices generated in the lining 48 of the stomach 50 flow in the direction of arrows 52 through one-way valves 54 into stent 40 and then into duodenum 44. Food or liquids from the esophagus 60 move in direction of arrow 62 through one-way valve 64 into stent 40 and then into duodenum 44, without direct contact with stomach 46.

[025] The width, length, and other parameters of the stent of the invention will vary, especially according to the patient, as one skilled in the art would appreciate. The overall length of the stent will be from about 10 to about 40 cm, preferably from about 12 to about 30 cm, and the expanded diameter will be from about 1.5 to about 4 cm, preferably from about 2 to about 3 cm. The number and placement of one-way valves in each of the stent tubular member 8 or distally extending valve member 16 will vary from 1 to about 50, preferably from about 4 to about 40. The actual number will depend upon factors such as the size of each valve, the overall length of the stent member or valve member, the volume of fluid expected, etc.

[026] Materials useful according to the inventor include biocompatible material such as stainless steel or nitinol and physiologically acceptable, acid resistant polymers.

[027] It will be further apparent to one skilled in this art that the improvements provided for in the present invention, while described with relation to certain specific physical embodiments also lend themselves to being applied in other physical

arrangements not specifically provided for herein, which are nonetheless with the spirit and scope of the invention taught here.

**I CLAIM:**

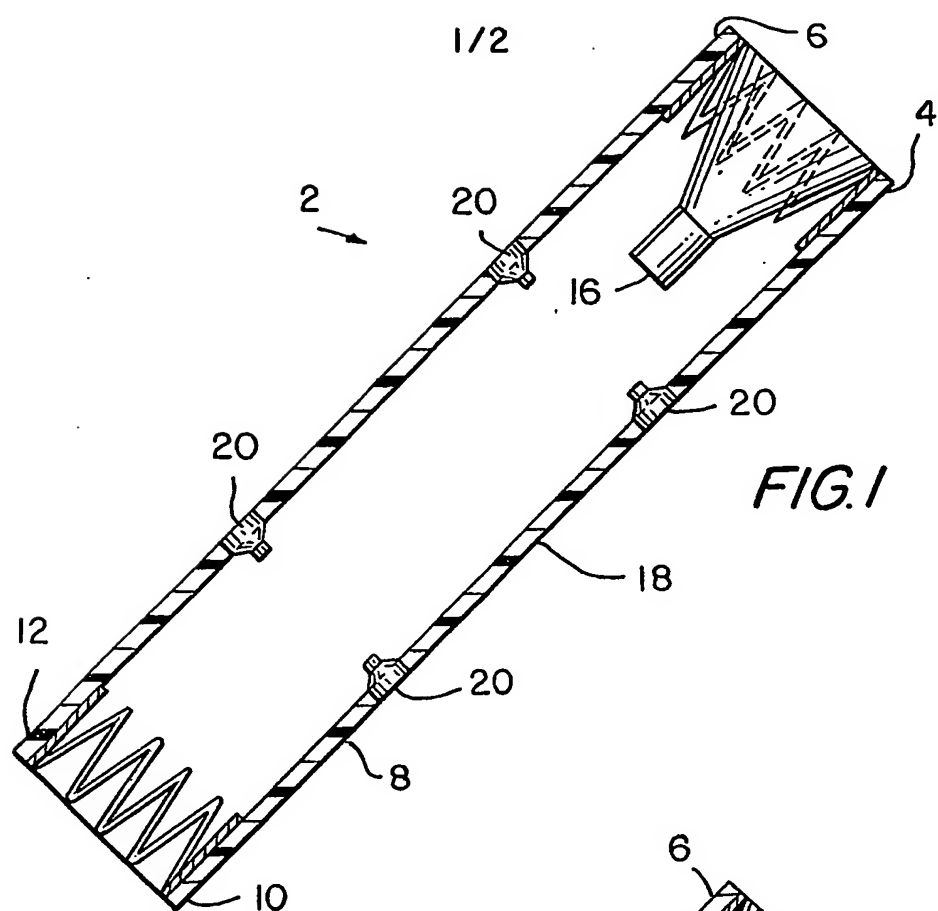
- [028] 1. An endoscopic device for separating ingested food from gastric fluids in a patient.
- [029] 2. The endoscopic device of Claim 1, wherein only a small portion of the ingested food is contacted with gastric fluids.
- [030] 3. The endoscopic device of Claim 1, wherein the ingested food is substantially separated from gastric fluids.
- [031] 4. The endoscopic device of Claim 1, which also separates ingested food from digestive enzymes in a portion of a patient's small bowel.
- [032] 5. The endoscopic device of Claim 4, wherein only a small portion of the ingested food is contacted with digestive enzymes in a patient's small bowel.
- [033] 6. The endoscopic device of Claim 4, wherein the ingested food is substantially separated from digestive enzymes.
- [034] 7. The endoscopic device of Claim 1, which is used to treat obesity.
- [035] 8. A method of treating obesity, wherein a device is endoscopically positioned in a patient's digestive tract to separate ingested food from gastric fluids.
- [036] 9. The method of Claim 8, wherein the device is positioned to separate ingested food from gastric fluids and digestive enzymes.
- [037] 10. The method of Claim 8, wherein the device is positioned to extend from at or above the patient's gastroesophageal junction to or beyond the patient's duodenum.
- [038] 11. A gastric bypass stent comprising a tubular member and two or more stent members defining a lumen and having a first end and a second end, wherein said tubular member has a substantially liquid impervious coating or covering.



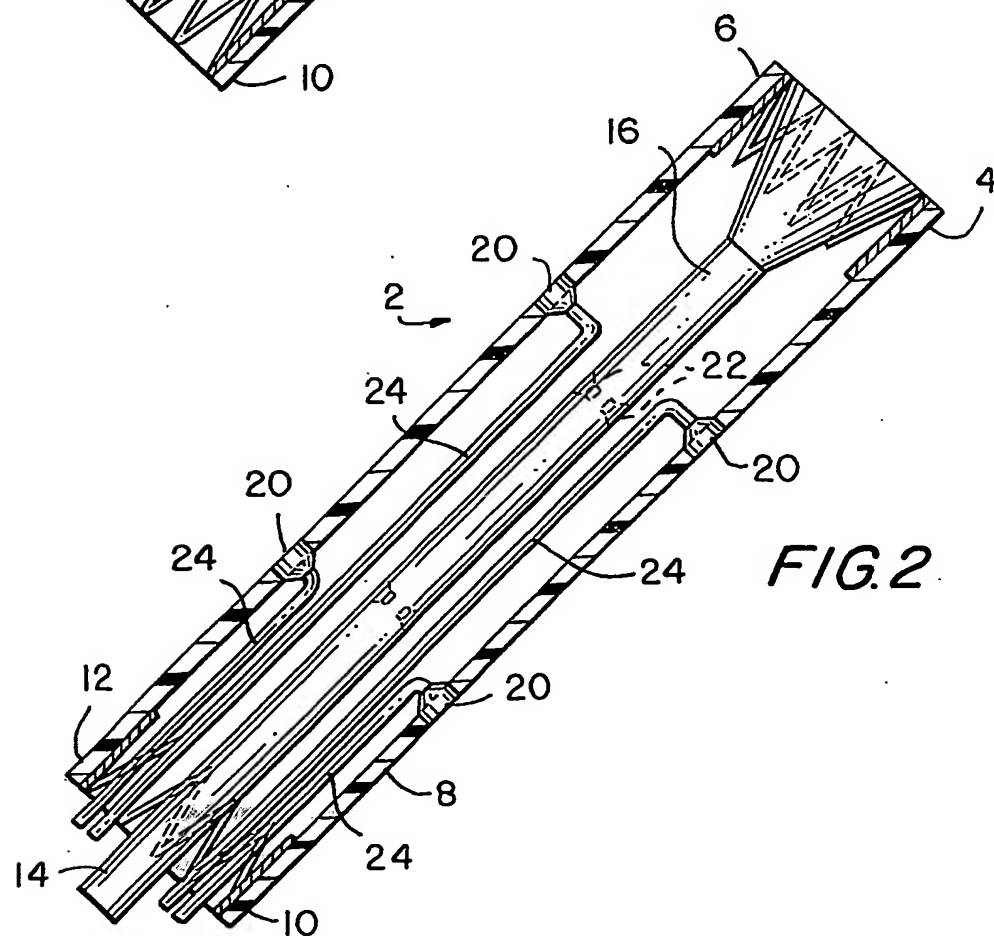
- [039] 12. The bypass stent of Claim 11, wherein the stent members each comprise expandable structures.
- [040] 13. The bypass stent of Claim 11, wherein the stent members are self-expanding.
- [041] 14. The bypass stent of Claim 11, wherein each stent member is expandable or self-expanding.
- [042] 15. The bypass stent of Claim 11, wherein at least one of the stent members comprises a one-way valve member with regard to liquid or solids entering the stent lumen.
- [043] 16. The bypass stent of Claim 15, wherein the valve member has a distal section that extends distally to or past the distal end of the tubular member.
- [044] 17. The bypass stent of Claim 16, wherein the distal section has one or more lateral openings to permit one-way liquid flow.
- [045] 18. The bypass stent of Claim 17, wherein the lateral openings comprise one-way valves to permit gastric fluid to enter the distal section.
- [046] 19. The bypass stent of Claim 11, wherein the tubular member has one or more lateral openings to permit one-way liquid flow.
- [047] 20. The bypass stent of Claim 19, wherein the stent lateral openings comprise one-way valves to permit gastric fluid to enter the stent.
- [048] 21. The bypass stent of Claim 20, wherein at least one one-way valve is in fluid communication with tubing that extends distally.
- [049] 22. The bypass stent of Claim 21, wherein the tubing extends to or past the distal end of the tubular member.
- [050] 23. The bypass stent of Claim 11, which comprises biocompatible, acid-resistant materials.

[051] 24. A method for treating obesity wherein a stent of Claim 11 is inserted into a patient's gastrointestinal tract to extend from at or above the patient's gastro-esophageal junction to or beyond the patient's duodenum.

[052] 25. The bypass stent of Claim 24 which comprises two or more adjacently positioned stent members.



**FIG. 1**



**FIG. 2**

